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**PATENT APPLICATION**

**A SCALABLE APPROACH TO LARGE SCALE QUEUING THROUGH  
DYNAMIC RESOURCE ALLOCATION**

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# A SCALABLE APPROACH TO LARGE SCALE QUEUING THROUGH DYNAMIC RESOURCE ALLOCATION

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## BACKGROUND OF THE INVENTION

### 1. Field of the Invention

10        This invention relates generally to network management and specifically relates to controlling traffic flow in network devices.

### 2. Description of Related Art

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      In digital communications systems, data are transmitted between processing devices over a network. In such networks, data are typically sent from one computer to another through network devices such as hubs, routers, bridges and/or switches interconnected by data links.

20        Network devices have ports that send and receive data, commands, etc. (hereinafter, "data") to and from the data links. Although this disclosure will mainly refer to data sent in the form of packets, the present invention applies to any addressable entities, including but not limited to datagrams, cells, etc. Accordingly, the terms "packet," "frame," "cell," etc., will be used synonymously herein.

25        Within a single network device, packets are accepted at ingress ports, transferred across a switching fabric within the network device and received at egress ports for transmission over the next data link. If each input

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port maintains a single first-in, first-out ("FIFO")  
buffer or "queue," various difficulties can arise,

Fig. 1 illustrates one such difficulty, known as  
5 head-of-line ("HOL") blocking. In this example of HOL  
blocking, more than one ingress port has a packet at the  
head of its queue that is destined for the same egress  
port at the same time. Ingress port 105 has a single  
queue 106, where incoming packets are stored until they  
10 are routed to an appropriate egress port. Similarly,  
ingress ports 110 and 115 have corresponding queues 111  
and 116.

Suppose port 125 is not accepting packets, whereas  
15 ports 120 and 130 are free. Queue 116 has packets bound  
for port 130 and port 120, so these packets can be sent  
in sequence. However, queues 106 and 111 both have  
packets at the head of the line that need to be routed to  
egress port 125. The second packet in queue 106 needs to  
20 egress port 120 and the second packet in queue 111 needs  
to egress port 130. Even though ports 120 and 130 are  
free, the second packets in queues 106 and 111 will be  
blocked, because the HOL packets in each queue cannot be  
sent. Even if port 125 were accepting packets for  
25 egress, there would be contention between queues 106 and  
111 for sending their HOL packets to port 125. This  
contention would need to be resolved before the next  
packets could be sent.

30 To eliminate HOL blocking, virtual output queues  
(VOQs) have been proposed. In VOQ implementations,  
ingress ports have a bank of queues, with one queue per  
category. Categories may include, for example, source,  
destination and priority. Packets are stored in random

access buffers associated with the ingress ports.  
However, only pointers to the data need to be stored in  
the respective VOQs; the payloads may be stored elsewhere  
(e.g., in an off-chip random access memory).

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In conventional VOQ implementations, the number of  
necessary queues needs to equal at least the total number  
of possible categories. As the number of categories  
increases, however, such implementations are not  
10 practical. For example, suppose a switch has 1024 ports  
and each ingress port has VOQs that include the  
categories of source, destination and priority. If each  
packet could have any one of 1024 destinations, 1024  
sources and 4 levels of priority, over 4 million queues  
15 would be required for routing packets within the switch.  
If the queues are implemented as physical memories on a  
chip, over 4 million physical memories would be required.

This problem is exacerbated when one considers the  
20 high data transfer rates of recently-developed network  
devices. If a switch has, for example, 1000  
ingress/egress ports transferring packets at 2G/s, there  
are 1000 2G/s data streams to manage. If the data  
structure to be managed has over 4 million entities to  
25 manage in a picosecond time frame, this is not a feasible  
management problem for hardware that will be available in  
the foreseeable future. Accordingly, it would be  
desirable to have improved methods of switching packets  
within network devices.

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## SUMMARY OF THE INVENTION

Methods and devices are provided for the efficient allocation and deletion of virtual output queues. According to some implementations, incoming packets are  
5 classified according to a queue in which the packet (or classification information for the packet) will be stored, e.g., according to a "Q" value. For example, a Q value may be a Q number defined as {Egress port number || Priority number|| Ingress port number}. Only a single  
10 physical queue is allocated for each classification. When a physical queue is empty, the physical queue is preferably de-allocated and added to a "free list" of available physical queues. Accordingly, the total number of allocated physical queues preferably does not exceed  
15 the total number of classified packets.

Some implementations of the invention limit the number of allocated physical queues according to limitations on the number of packets that a particular  
20 ingress port can receive. Because the flow control mechanisms (e.g., input buffering requirements) of Fibre Channel ("FC") and other protocols place limitations on the number of incoming packets, the dynamic allocation methods of the present invention result in a sparse  
25 allocation of physical queues.

According to some implementations of the invention, a method of allocating queues in a network device is provided. The method includes the following steps:  
30 making a classification for an incoming packet;  
determining whether a queue has already been allocated for the classification; and allocating the queue when the queue has not already been allocated for the classification. The queue may be associated with an

ingress port of the network device. The queue may be a virtual output queue.

5 The method may also include the steps of detecting when a queue is empty and de-allocating the empty queue. The method may also include the step of updating a memory when a queue is de-allocated, wherein the memory indicates whether the classification has already been allocated a queue. The network device may include a free  
10 list that indicates queues available for allocation, wherein the method further comprises updating the free list when a queue is de-allocated.

15 The classification may be based on a packet source, a packet destination or a packet priority. The classification may resolve to a Q number. The determining step may involve addressing a memory that indicates whether the classification has already been allocated a queue.

20 Some embodiments of the invention provide a network device that includes: a classifier for making a classification for an incoming packet; a determiner for determining whether a queue has already been allocated  
25 for the classification; and an allocator for allocating the queue when the queue has not already been allocated for the classification. The queue may be associated with an ingress port of the network device. The queue may be a virtual output queue.

30 The network device may also include a detector for detecting when a queue is empty and a de-allocator for de-allocating the empty queue.

The classification may be based on a packet source, a packet destination or a packet priority. The classification may resolve to a Q number.

5        In some embodiments, the determiner addresses a memory that indicates whether the classification has already been allocated a queue. The network device may also include a utility for updating a memory when a queue is de-allocated, wherein the memory indicates whether the  
10       classification has already been allocated a queue. The network device may include a free list that indicates queues available for allocation as well as a utility for updating the free list when a queue is de-allocated.

15       Other implementations of the invention provide a computer program embodied in a machine-readable medium. The computer program is configured to control a network device to perform the following steps: making a classification for an incoming packet; determining  
20       whether a queue has already been allocated for the classification; and allocating the queue when the queue has not already been allocated for the classification.

      Yet other embodiments of the invention provide a  
25       network device. The network device includes the following components: a plurality of ports configured to receive incoming packets; a classification engine for making classifications for incoming packets; a memory that indicates whether a queue has already been allocated  
30       for a classification; and a processor for allocating the queue when the memory indicates that a queue has not already been allocated for the classification. The memory may be, for example, a content addressable memory or a random access memory.

Still other aspects of the invention proved a method of allocating queues in a network device. The method includes the following steps: having no queues allocated at a first time; receiving a first packet; making a first  
5 classification for the first packet; allocating a first queue for the first classification; receiving a second packet; making a second classification for the second packet; and determining whether the first classification  
10 is the same as the second classification.

The method may also include the step of allocating a second queue when the first classification is different from the second classification. The method may include  
15 the step of assigning the second packet to the first queue when the first classification is not different from the second classification.

Yet other aspects of the invention provide a method  
20 of allocating queues in a network device. The method includes the following steps: determining a first number of packets that an ingress port of the network device can receive; and allocating a second number of physical queues for the ingress port, wherein the second number is  
25 less than or equal to the first number. According to some such aspects of the invention, the network device operates according to the Fibre Channel protocol and the determining step is based on a number of buffer-to-buffer credits granted by the ingress port.

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The method may also include the following steps: identifying a category for each packet arriving at the ingress port; correlating the category to an existing physical queue; and storing packet information in the



physical queue. The packet information may be control information such as destination information, source information, priority information, payload type information and/or payload size information. The method  
5 may include these additional steps: identifying a category for each packet arriving at the ingress port; and assigning the category to a physical queue, wherein the network device allocates a new physical queue only when there is no existing physical queue for the  
10 category.

These and other features of the invention will be described below with respect to the following drawings.

## BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a block diagram that illustrates the problem of head-of-line blocking.

5        Fig. 2 is a block diagram of a network device.

Fig. 3 illustrates part of one implementation of an on-chip VOQ.

10       Fig. 4 is a flow chart that outlines some aspects of the invention.

Fig. 5 is a flow chart that outlines other aspects of the invention.

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Fig. 6 is a block diagram that illustrates exemplary components of a network device for performing some aspects of the invention.

20       Fig. 7 is a block diagram that illustrates exemplary components of a network device for performing some aspects of the invention.

## DETAILED DESCRIPTION OF THE INVENTION

Fig. 2 illustrates a series of packets arriving at device 200, which is a network device in this example.

5 After the packets arrive at port 201, they are classified by classification engine 220, which may be implemented in software, hardware or firmware. For example, each packet may be sorted according to control information such as its destination, its source, its priority, payload type,  
10 etc.

After the classification step, control information for each packet is assigned to a different one of the queues in array 240. Each of the queues in array 240 may  
15 be, e.g., a first-in, first-out ("FIFO") buffer of a microprocessor (e.g., an ASIC). In this example, control information 206 for packet 205 is stored at the head of queue 255. Control information 216 for packet 215 is also stored in queue 255. Control information 211 for  
20 packet 210 is stored at the head of queue 250.

However, other packet information (e.g., the payload) that may require significantly more memory can be stored in data memory 230. Data memory 230 may  
25 reside, for example, in an off-chip memory such as an SRAM, a DRAM, etc. Pointers to the packet information stored in memory 230 are stored in array 240 or in memory associated with array 240. One such implementation is described below with reference to Fig. 3. In this way,  
30 the storage requirements for the buffers in array 240 are reduced.

Within a VOQ, packets are normally served in the order of arrival. As between VOQs, the flow of packets

is controlled by an "arbiter" that schedules packets between the ingress ports and egress ports, using one or more arbitration algorithms. Such arbitration is necessary, for example, to match available destinations (i.e. destinations that can accept packets) with requesting sources (queues containing at least one packet). The arbitration algorithm will select from among the matching entities (e.g., in a round robin or a priority-weighted fashion) to determine which packet to serve next.

Therefore, after the classification step is an arbitration step, wherein arbiter 277 determines what will happen with the contents of each queue. Arbiter 277 may operate according to any applicable arbitration algorithms known by those of skill in the art. Arbiter 277 may be implemented in software, hardware or firmware.

Fig. 3 illustrates part of one implementation of an on-chip VOQ for maintaining an array of queues such as array 240 of Fig 2. According to some implementations, in queue 255, each item of control information has an associated descriptor field and a "next pointer" that indicates the location of the next control information. The descriptor fields include both the control information upon which the classification was made and the location of the associated payload of the data packet.

However, in other implementations, the control information upon which the classification was made is implicit by location on "Q" memory, as described below. In general also the location of the associated payload can be inferred by "P" memory (e.g., memory 255).

Therefore, in such implementations, the next pointer is the only necessary information.

The first descriptor in queue 255 is for control information 206. Therefore, this descriptor will include the relevant control information (e.g., source, destination or priority) and the location within data memory 230 (see Fig. 2) of the payload of packet 205. In this example, data memory 230 is an off-chip random access memory such as an SRAM or a DRAM. The associated next pointer indicates the location of control information 216, the next control information in queue 255. The "next pointer" field associated with control information 216 has a null value, because at this moment control information 216 is the last item of control information in queue 255.

Memory 300 includes head pointer field 305 and tail pointer field 310. Each head pointer indicates the location of the control information at the head of a queue and each tail pointer indicates the location of the control information at the tail of the same queue. In this example, head pointer 315 points to the location of control information 206 within queue 255 and tail pointer 320 points to the location of control information 216. Memory 300 has a depth of Q, the number of all possible classifications. The total size of memory 300 is  $Q * ([\text{size of HPTR field 305}] + [\text{size of TPTR field 310}])$ .

The size P of buffer 255 depends on the limitations of input buffering and the corresponding number of packets that one wants to store in the system. In a Fibre Channel ("FC") system, for example, the number of packets that one wants to store per source is equal to

the number of buffer-to-buffer credits that the link will provide. In the FC protocol, storage must be provided for a number of packets corresponding to that number of credits. Therefore, the total number of packets that  
5 need to be stored in array 240 is the total number of links times the number of credits per link. Typically, 16 packets per link may be stored in an input buffer of an FC switch, but in some current implementations 256  
10 packets per link may be stored. As is known to those of skill in the art, similar input buffering limitations apply to other protocols and other types of network devices.

Fig. 4 is a flow chart that provides a high-level  
15 overview of dynamic, sparse allocation of queues according to some implementations of the present invention. Step 405 represents an initial time ( $t=0$ ) when no packets have yet arrived at an ingress port of a network device. Therefore, according to some preferred  
20 implementations of the invention, no physical queues have yet been allocated. A first packet arrives (step 410) and the packet is classified (step 415). The packet may be classified according to any convenient criteria, e.g., by source, destination, and/or priority. The  
25 classification may be performed, for example, by applying a hash function to fields of the first packet.

A physical queue is allocated in step 420 and the first packet is assigned to the first queue (step 425).  
30 The physical queue could be, for example, the type of queue described above with reference to Figs. 2 and 3. In preferred implementations, "assigning" the first packet to the first queue involves storing classification information and pointer information for the first packet

in the first free queue. In alternative implementations, assigning the first packet to the first queue involves storing the first packet in the first queue.

5        In step 430, the next packet arrives at the port. The packet is classified in step 432. Then, a determination is made as to whether there is an existing queue for the classification of the new packet (step 435). This determination may be made in any convenient  
10        way, e.g., by reference to a per queue empty bit stored and updated in a random access memory. One preferred implementation of the determination step will be described below with reference to Fig. 6.

15        If a physical queue is already allocated for the classification of the new packet, the packet is assigned to an existing physical queue. However, if there is no physical queue yet allocated for the classification of the new packet, a physical packet is allocated for this  
20        purpose in step 445 and the packet is assigned to the new physical queue in step 450. Then, the next packet is arrived, is classified, and so on.

Fig. 4 and the preceding discussion assume that a  
25        second packet (and subsequent packets) arrive while the first packet is still in the queue. If the first packet should leave before the next packet arrives, the first queue would be de-allocated. The second packet would repeat all of the steps that applied to the initial  
30        packet. According to the above-described implementation, the second packet would be allocated a different virtual queue than was allocated to the first packet. However, in alternative implementations, the second packet could

be allocated the same virtual queue that was allocated to the first packet.

Preferably, physical queues are also dynamically de-allocated when empty and made available for new classifications. Fig. 5 is a flow chart that represents an overview of this process. In step 505, allocated physical queues are polled and a determination is made as to whether they empty (step 510). In some implementations, steps 505 and 510 are performed in a single operation. If there are no empty queues, the queues are assessed again at a later time. For example, the queues may be assessed again during the next clock cycle, after a predetermined number of clock cycles, etc.

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The queue check occurs upon every packet transmission on the transmit packet queue. When a packet is transmitted the queue status (empty) is updated and checked. Queue allocation and de-allocation is therefore event driven.

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If one or more queues are determined to be empty, the queues are de-allocated in step 515 and made available for new classifications (step 520). Preferably, all memories associated with the de-allocated queues are updated at this time to indicate that the de-allocated queues are no longer associated with the old classifications.

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Fig. 6 is a block diagram that illustrates one preferred implementation of the methods described above with reference to Figs 4 and 5. Here, queue selection is done through classification of a packet to a value of Q. The classification mechanism can be done, for example, by

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hashing packet fields, by a lookup table, etc. The resulting Q value (here, a number) indicates the queue in which control information for a packet will be stored.

5       The Q numbers are compared to the contents of a memory 620 of physical queues P implemented in hardware, such that there is sufficient state storage to allow a separate queue for every packet that the system can store. The memory 620 is preferably a content  
10   addressable memory ("CAM") but may be any other appropriate memory, e.g., an SRAM. In the implementation illustrated by Fig. 6, memory 620 is a CAM having Q number field 625 and valid bit field 630. Each line of the CAM, then, includes the number of a queue and an  
15   indication as to whether or not that queue has been assigned. One advantage of using a CAM for memory 620 is that its entire contents can be searched in one cycle.

      If memory 620 is an SRAM, the Q number could be put  
20   into the address line of the SRAM. The data output from memory 620 could be the P value and a "hit" indication.

      By addressing memory 620, it is determined whether the Q number of the incoming packet has an allocated  
25   physical queue P. If memory 620 is a CAM, for example, memory 620 looks for a match between the Q number of the incoming packet and a Q number in field 625 and determines whether the Q number in field 625 has a valid bit in field 630. If so, there is a "hit" and that  
30   physical queue will be supplied for the control information corresponding to the hit number. If not, miss detector 635 fetches a queue from free list 640 of "free queues," which are the free resources on chip.

Free list 640 may be, for example, a RAM initially having addresses equal to its contents. In one example, when a first packet is arriving (at  $t=0$ ), there have been no allocated queues. Therefore, at time  $t=0$ , free list  
5 640 would list all queues Q0, Q1, etc., up to QP. When a first packet arrives, there will be a miss and the first packet will be assigned Q0, which will be fetched from free list 640. Then, memory 620 will be notified of the allocation and will update the bit in field 630  
10 corresponding to Q0 to a valid bit.

After packets have been assigned to queues, the packets and queues are used by VOQ system 650 in a manner similar to that of conventional VOQ systems known to  
15 those of skill in the art. However, there are distinctions between the VOQ system of the present invention and those of the prior art. For example, VOQ system 650 will need to deal with only P data structures, which is a much smaller number of queues than a  
20 conventional VOQ system would use for the same network device.

Another such difference from prior art VOQ systems involves the use of empty detector 660, which monitors  
25 VOQ system 650 and determines when a queue is empty. Then, empty detector 660 returns the corresponding Q number to free list 640 and notifies memory 620, which changes the corresponding value in field 630 to an invalid bit.

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Referring now to Fig. 7, a network device 760 suitable for implementing the techniques of the present invention includes a master central processing unit (CPU) 762, interfaces 768, and a bus 767 (e.g., a PCI bus).

When acting under the control of appropriate software or firmware, the CPU 762 may be responsible for implementing specific functions associated with the functions of a desired network device. For example, when configured as  
5 an intermediate router, the CPU 762 may be responsible for analyzing packets, encapsulating packets, and forwarding packets for transmission to a set-top box. The CPU 762 preferably accomplishes all these functions under the control of software including an operating  
10 system (e.g. Windows NT), and any appropriate applications software.

CPU 762 may include one or more processors 763 such as a processor from the Motorola family of  
15 microprocessors or the MIPS family of microprocessors. In an alternative embodiment, processor 763 is specially designed hardware for controlling the operations of network device 760. In a specific embodiment, a memory 761 (such as non-volatile RAM and/or ROM) also forms part  
20 of CPU 762. However, there are many different ways in which memory could be coupled to the system. Memory block 761 may be used for a variety of purposes such as, for example, caching and/or storing data, programming instructions, etc.

25 The interfaces 768 are typically provided as interface cards (sometimes referred to as "line cards"). Generally, they control the sending and receiving of data packets over the network and sometimes support other  
30 peripherals used with the network device 760. Among the interfaces that may be provided are Ethernet interfaces, frame relay interfaces, cable interfaces, DSL interfaces, token ring interfaces, and the like. In addition, various very high-speed interfaces may be provided, such

as fast Ethernet interfaces, Gigabit Ethernet interfaces, ATM interfaces, HSSI interfaces, POS interfaces, FDDI interfaces, ASI interfaces, DHEI interfaces and the like. Generally, these interfaces may include ports appropriate  
5 for communication with the appropriate media. In some cases, they may also include an independent processor and, in some instances, volatile RAM. The independent processors may control such communications intensive tasks as packet switching, media control and management.  
10 By providing separate processors for the communications intensive tasks, these interfaces allow the master microprocessor 762 to efficiently perform routing computations, network diagnostics, security functions, etc.

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Although the system shown in Fig. 7 illustrates one specific network device of the present invention, it is by no means the only network device architecture on which the present invention can be implemented. For example,  
20 an architecture having a single processor that handles communications as well as routing computations, etc. is often used. Further, other types of interfaces and media could also be used with the network device.

25 Regardless of the network device's configuration, it may employ one or more memories or memory modules (such as, for example, memory block 765) configured to store data, program instructions for the general-purpose network operations and/or other information relating to  
30 the functionality of the techniques described herein. The program instructions may control the operation of an operating system and/or one or more applications, for example.

Because such information and program instructions may be employed to implement the systems/methods described herein, the present invention relates to machine-readable media that include program instructions, state information, etc. for performing various operations described herein. Examples of machine-readable media include, but are not limited to, magnetic media such as hard disks, floppy disks, and magnetic tape; optical media such as CD-ROM disks; magneto-optical media; and hardware devices that are specially configured to store and perform program instructions, such as read-only memory devices (ROM) and random access memory (RAM). The invention may also be embodied in a carrier wave traveling over an appropriate medium such as airwaves, optical lines, electric lines, etc. Examples of program instructions include both machine code, such as produced by a compiler, and files containing higher level code that may be executed by the computer using an interpreter.

20

While the invention has been particularly shown and described with reference to specific embodiments thereof, it will be understood by those skilled in the art that changes in the form and details of the disclosed embodiments may be made without departing from the spirit or scope of the invention. For instance, it will be appreciated that at least a portion of the functions described herein could be performed by one or more devices, e.g., by a microprocessor, by a cluster of microprocessors, etc. The invention is preferably implemented at ingress ports of an FC switch, but could be used in an Ethernet switch or other network device.

Moreover, the invention can be used in any system that needs to enqueue information, objects, etc., having a large number of categories and a smaller number of units that can be categorized at any given time. For  
5 example, the invention is applicable to a sorting machine for sorting objects having a large number of possible characteristics (e.g., size, shape, color, or other attributes) and a smaller number of possible sorting operations at any given time. For example, the invention  
10 could be implemented in a machine that sorts objects having a large number of possible colors (e.g., 1000 colors), but which can only sort a small number of objects at any given time (e.g., 10 objects). Considering these and other variations, the scope of the  
15 invention should be determined with reference to the appended claims.